



THE BIRMINGHAM & MIDLAND INSTITUTE

9 Margaret Street
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Charity No. 522852

DATA PROTECTION POLICY

1 Overview

- 1.1 The Institute takes the security and privacy of your data seriously. We need to gather and use information or 'data' about you as part of our business and to manage our relationship with you. We intend to comply with our legal obligations under the **Data Protection Act 2018** (the '2018 Act') and the **EU General Data Protection Regulation** ('GDPR') in respect of data privacy and security. We have a duty to notify you of the information contained in this policy.
- 1.2 This policy applies to current and former employees, workers, volunteers, apprentices and consultants. If you fall into one of these categories then you are a 'data subject' for the purposes of this policy. You should read this policy alongside your contract of employment (or contract for services) and any other notice we issue to you from time to time in relation to your data.
- 1.3 The Institute has measures in place to protect the security of your data and information about this can be obtained from the Honorary Secretary.
- 1.4 The Institute will only hold data for as long as necessary for the purposes for which we collected it. Information on our approach to data retention can be obtained from the Honorary Secretary.
- 1.5 The Institute is a '**data controller**' for the purposes of your personal data. This means that we determine the purpose and means of the processing of your personal data.
- 1.6 This policy explains how the Institute will hold and process your information. It explains your rights as a data subject. It also explains your obligations when obtaining, handling, processing or storing personal data in the course of working for, or on behalf of, the Institute.
- 1.7 This policy does not form part of your contract of employment (or contract for services if relevant) and can be amended by the Institute at any time. It is intended that this policy is fully compliant with the 2018 Act and the GDPR. If any conflict arises between those laws and this policy, the Institute intends to comply with the 2018 Act and the GDPR.

2 Data Protection Principles

2.1 Personal data must be processed in accordance with six '**Data Protection Principles.**' It must:

- be processed fairly, lawfully and transparently;
- be collected and processed only for specified, explicit and legitimate purposes;
- be adequate, relevant and limited to what is necessary for the purposes for which it is processed;
- be accurate and kept up to date. Any inaccurate data must be deleted or rectified without delay;
- not be kept for longer than is necessary for the purposes for which it is processed; and
- be processed securely.

We are accountable for these principles and must be able to show that we are compliant.

3 How we define personal data

3.1 '**Personal data**' means information which relates to a living person who can be **identified** from that data (a '**data subject**') on its own, or when taken together with other information which is likely to come into our possession. It includes any expression of opinion about the person and an indication of the intentions of us or others, in respect of that person. It does not include anonymised data.

3.2 This policy applies to all personal data whether it is stored electronically, on paper or on other materials.

3.3 This personal data might be provided to us by you, or someone else (such as a former employer, your doctor, or a credit reference agency), or it could be created by us. It could be provided or created during the recruitment process or during the course of the contract of employment (or services) or after its termination. It could be created by your manager or other colleagues.

3.4 We will collect and use the following types of personal data about you:

- recruitment information such as your application form and/or CV, references, qualifications and membership of any professional bodies and details of any pre-employment assessments; we will hold this only for as long as we need to after you start the job you've been appointed to.
- your contact details, and if necessary to comply with legal obligations (for example, minimum pay rates or health and safety) your date of birth (your date of birth will be needed by any pension provider);
- the contact details for your emergency contacts, but only with their explicit consent;

- information about your contract of employment (or services) including start and end dates of employment, role and location, working hours, details of promotion, salary (including details of previous remuneration), pension, benefits and holiday entitlement;
- your bank details and information in relation to your tax status including your national insurance number;
- your identification documents including passport and driving licence and information in relation to your immigration status and right to work for us;
- information relating to disciplinary or grievance investigations and proceedings involving you (whether or not you were the main subject of those proceedings);
- information relating to your performance and behaviour at work;
- training records;
- electronic information in relation to your use of IT systems/swipe cards/telephone systems;
- your images (whether captured on CCTV, by photograph or video when obtained in the course of your duties);
- data required for equality and diversity monitoring purposes, such as your age, gender, marital status and family details, but this will be kept separately to your other personal data and may be encrypted for added security.

3.5 We will tell you about the types of personal data we collect and hold (process) relating to you, and the reasons (lawful bases) for doing so, in an individual privacy notice (see section 12.1 below). From time to time, we may find that a need arises to collect and hold other types of personal data. If this occurs, we will notify you of the types of data and our reasons for intending to process it, and the lawful basis or bases for doing so, in an amended individual privacy notice.

4 How we define special categories of personal data

4.1 **'Special categories of personal data'** are types of personal data consisting of information as to:

- your racial or ethnic origin;
- your political opinions;
- your religious or philosophical beliefs;
- your trade union membership;

- your genetic or biometric data;
- your health;
- your sex life and sexual orientation; and
- any criminal convictions and offences.

We may hold and use any of these special categories of your personal data in accordance with the law. If we do so, we will tell you (in the individual privacy notice, see section 12.1 below) what data we are holding and the lawful bases under which we are processing this data.

We may be required to hold and process some special category data for equality and diversity monitoring purposes from time to time, but this will be kept separately to your other personal data and may be encrypted for added security.

5 How we define processing

5.1 **'Processing'** means any operation which is performed on personal data such as:

- collection, recording, organisation, structuring or storage;
- adaption or alteration;
- retrieval, consultation or use;
- disclosure by transmission, dissemination or otherwise making available;
- alignment or combination; and
- restriction, destruction or erasure.

This includes processing personal data which forms part of a filing system and any automated processing.

6 How will we process your personal data?

6.1 The Institute will process your personal data (including special categories of personal data) in accordance with our obligations under the 2018 Act.

6.2 We will use your personal data for:

- performing the contract of employment (or services) between us;

- complying with any legal obligation; or
- if it is necessary for our legitimate interests (or for the legitimate interests of someone else). However, we can only do this if your interests and rights do not override ours (or theirs). You have the right to challenge our legitimate interests and request that we stop this processing. See details of your rights in section 12 below.

We can process your personal data for these purposes without your knowledge or consent. We will not use your personal data for an unrelated purpose without telling you about it and the lawful basis that we intend to rely on for processing it.

If you choose not to provide us with certain personal data you should be aware that we may not be able to carry out certain parts of the contract between us. For example, if you do not provide us with your bank account details we may not be able to pay you. It might also stop us from complying with certain legal obligations and duties which we have such as to pay the right amount of tax to HMRC or to make reasonable adjustments in relation to any disability you may suffer from.

7 Examples of when we might process your personal data

7.1 We have to process your personal data in various situations during your recruitment, employment (or engagement) and even following termination of your employment (or engagement).

7.2 For example (and see section 7.6 below for the meaning of the asterisks):

- to decide whether to employ (or engage) you;
- to decide how much to pay you, and the other terms of your contract with us;
- to check you have the legal right to work for us;
- to carry out the contract between us including where relevant, its termination;
- training you and reviewing your performance;
- to decide whether to promote you;
- to decide whether and how to manage your performance, absence* or conduct;
- to carry out a disciplinary or grievance investigation or procedure in relation to you or someone else;
- to determine whether we need to make reasonable adjustments to your workplace or role because of your disability*;

- to monitor diversity and equal opportunities*;
- to monitor and protect the security (including network security) of the Institute, of you, our other staff, customers and others;
- to monitor and protect the health* and safety of you, our other staff, customers and third parties;
- to pay you and provide pension and other benefits including sick pay* in accordance with the contract between us;
- paying tax and national insurance;
- to provide a reference upon request from another employer;
- to pay trade union subscriptions*;
- monitoring compliance by you, us and others with our policies and our contractual obligations*;
- to comply with employment law*, immigration law, health and safety law*, tax law and other laws which affect us;
- to answer questions from insurers in respect of any insurance policies which relate to you*;
- running our business and planning for the future;
- the prevention and detection of fraud or other criminal offences;
- to defend the Institute in respect of any investigation or litigation and to comply with any court or tribunal orders for disclosure*;
- for any other reason which we may notify you of from time to time.

7.3 We will only process special categories of your personal data (see above) in certain situations in accordance with the law. For example, we can do so if we have your explicit consent. If we asked for your consent to process a special category of personal data then we would explain the reasons for our request. You do not need to consent and can withdraw consent later if you choose by contacting your manager in the first instance.

7.4 We do not need your consent to process special categories of your personal data when we are processing it for the following purposes, which we may do:

- where it is necessary for ensuring rights and carrying out obligations under employment law;

- where it is necessary to protect your vital interests or those of another person where you/they are physically or legally incapable of giving consent;
- where you have made the data public;
- where processing is necessary for the establishment, exercise or defence of legal claims; and
- where processing is necessary for the purposes of occupational medicine or for the assessment of your working capacity.

7.5 We may process information about criminal convictions, etc, with your consent (such as where a Disclosure and Barring Service clearance is required due to the nature of the job you are doing.) We do not need your consent to process information about criminal convictions, etc, when we are processing it for the following purposes, which we may do:

- where it is necessary for carrying out obligations under employment law;
- where it is necessary to protect your vital interests or those of another person where you are physically or legally incapable of giving consent;
- where you have made the data public;
- where it is necessary for the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings), is necessary for the purpose of obtaining legal advice, or is necessary for the purposes of establishing, exercising or defending legal rights.

7.6 We might process special categories of your personal data for the purposes in paragraph 7.2 above which have an asterisk beside them. In particular, we will use information in relation to:

- your race, ethnic origin, religion, sexual orientation or gender to monitor equal opportunities in order to carry out our obligations under employment law;
- your sickness absences, health and medical conditions for the performance of the contract, to pay you benefits; and to comply with our legal obligations including to make reasonable adjustments and to look after your health and safety; and to progress our legitimate interests, such as to monitor and if necessary manage your attendance; and for the purposes of occupational medicine and to assess your fitness for work;
- your trade union membership to pay any subscriptions if you request us to do this by deduction from your pay, and to comply with our legal obligations in respect of trade union members;
- racial or ethnic origin, trade union membership, sexual orientation, health and possibly other special category data to monitor compliance with our policies in order to carry out our obligations under employment law;

- racial or ethnic origin, religious or philosophical beliefs or trade union membership, genetic data or data concerning health to provide necessary information to insurers where necessary for reasons of substantial public interest;
- any or all special category and criminal convictions, etc, data to comply with court or tribunal orders and to defend the organisation in respect of any investigation or litigation.

7.7 We do not take automated decisions about you using your personal data or use profiling in relation to you.

8 Sharing your personal data

8.1 Sometimes we might share your personal data with group companies or our contractors and agents to carry out our obligations under our contract with you or for our legitimate interests.

8.2 We require those companies to keep your personal data confidential and secure and to protect it in accordance with the law and our policies. They are only permitted to process your data for the lawful purpose for which it has been shared and in accordance with our instructions.

8.3 We use external companies to process the payroll, to pay your salary and to provide a pension scheme for our employees. All of these are done for the performance of the contract with each employee.

8.4 We do not send your personal data outside the European Economic Area. If this changes you will be notified of this and the protections which are in place to protect the security of your data will be explained.

9 How should you process personal data for the Institute?

9.1 Everyone who works for, or on behalf of, the Institute has some responsibility for ensuring data is collected, stored and handled appropriately, in line with this policy and the Institute's Data Security and Data Retention practices.

9.2 The Governance Committee is responsible for reviewing this policy and updating the Board of Trustees on the Institute's data protection responsibilities and any risks in relation to the processing of data. You should direct any questions in relation to this policy or data protection to this person.

9.3 You should only access personal data covered by this policy if you need it for the work you do for, or on behalf of the Institute and only if you are authorised to do so. You should only use the data for the specified lawful purpose for which it was obtained.

9.4 You should not share personal data informally.

9.5 You should keep personal data secure and not share it with unauthorised people.

- 9.6 You should regularly review and update personal data which you have to deal with for work. This includes telling us if your own contact details change.
- 9.7 You should not make unnecessary copies of personal data and should keep and dispose of any copies securely.
- 9.8 You should use strong passwords.
- 9.9 You should lock your computer screens when not at your desk.
- 9.10 Do not save personal data to your own personal computers or other devices.
- 9.11 Personal data should never be transferred outside the European Economic Area except in compliance with the law and with the prior authorisation of the Board of Trustees.
- 9.12 You should lock drawers and filing cabinets. Do not leave paper with personal data lying about.
- 9.13 You should not take personal data away from Institute's premises without authorisation from your line manager.
- 9.14 Personal data kept in hard copy format (paper or other physical documents) should be shredded and disposed of securely when you have finished with it.
- 9.15 You should ask for help from your manager or from the Honorary Secretary, if you are unsure about data protection or if you notice any areas of data protection or security we can improve upon.
- 9.16 Any deliberate or negligent breach of this policy by you may result in disciplinary action being taken against you in accordance with our disciplinary procedure.
- 9.17 It is a criminal offence to conceal or destroy personal data which is part of a subject access request (see below). This conduct would also amount to gross misconduct under our disciplinary procedure, which could result in your dismissal.

10 How to deal with data breaches

- 10.1 We have robust measures in place to minimise and prevent data breaches from taking place. Should a breach of personal data occur (whether in respect of you or someone else) then we must take notes and keep evidence of that breach. If the breach is likely to result in a risk to the rights and freedoms of individuals then we must also notify the Information Commissioner's Office within 72 hours.
- 10.2 If you are aware of a data breach you must contact the Honorary Secretary, immediately and keep any evidence you have in relation to the breach.

11 Subject Access Requests

- 11.1 Data subjects can make a '**subject access request**' ('SAR') to find out the information we hold about them. This request must be made in writing. If you receive such a request you should forward it immediately to the Honorary Secretary, who will co-ordinate a response.
- 11.2 If you would like to make a SAR in relation to your own personal data you should make this in writing to the Honorary Secretary. We must respond within one month unless the request is complex or numerous in which case the period in which we must respond can be extended by a further two months.
- 11.3 There is no fee for making a SAR. However, if your request is manifestly unfounded or excessive we may charge a reasonable administrative fee or refuse to respond to your request.

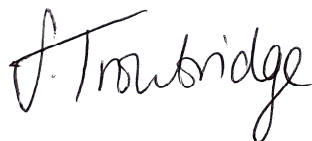
12 Your data subject rights

- 12.1 You have the right to information about what personal data we process, how and on what basis as set out in this policy which is provided in the individual privacy notice issued to you.
- 12.2 You have the right to access your own personal data by way of a subject access request (see above).
- 12.3 You can correct any inaccuracies in your personal data. To do so you should contact your manager.
- 12.4 You have the right to request that we erase your personal data where we were not entitled under the law to process it or it is no longer necessary to process it for the purpose it was collected. To do so you should contact your manager.
- 12.5 While you are requesting that your personal data is corrected or erased or are contesting the lawfulness of our processing, you can apply for its use to be restricted while the application is made. To do so you should contact your manager.
- 12.6 You have the right to object to data processing where we are relying on a legitimate interest to do so and you think that your rights and interests outweigh our own and you wish us to stop.
- 12.7 You have the right to object if we process your personal data for the purposes of direct marketing.
- 12.8 You have the right to receive a copy of your personal data and to transfer your personal data to another data controller. We will not charge for this and will in most cases aim to do this within one month.
- 12.9 With some exceptions, you have the right not to be subjected to automated decision-making.

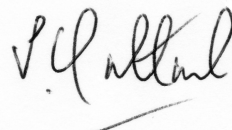
12.10 You have the right to be notified of a data security breach concerning your personal data.

12.11 In most situations we will not rely on your consent as a lawful ground to process your data. If we do however request your consent to the processing of your personal data for a specific purpose, you have the right not to consent or to withdraw your consent later. To withdraw your consent, you should contact your manager.

12.12 You have the right to complain to the Information Commissioner. You can do this by contacting the Information Commissioner's Office directly. Full contact details including a helpline number (0303 123 1113) can be found on the Information Commissioner's Office website (<https://ico.org.uk/make-a-complaint/>). This website has further information on your rights and our obligations.



Dr Serena Trowbridge,
Vice President



Stephen Hartland
Honorary Secretary

Reviewed: September 2020
Next Review: September 2021